## JC04 Rec'd PCT/PTO 20 JUN 2005

PTO-1390 (Rev. 02-2005)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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#### ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 29610/CDT273 DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/GB2003/005571 20 December 2002 18 December 2003 TITLE OF INVENTION Method of Preparing Electronically Connected Optoelectronic Devices, and Optoelectronic Devices (as amended) APPLICANT(S) FOR DO/EO/US Jonathan J. HALLS and Richard WILSON Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. X A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). c. An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). 6. is attached hereto. a. has been previously submitted under 35 U.S.C. 154(d)(4). b. 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) Х a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. C. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13. x A preliminary amendment. x An Application Data Sheet under 37 CFR 1.76. 14. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19 A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information: International Search Report, return receipt postal card

# JC20 Rec'd PCT/PTO 2 0 JUN 2005

PTO-1390 (Rev. 02-2005)

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER INTERNATIONAL APPLICATION NO. 29610/CDT273 PCT/GB2003/005571 CALCULATIONS PTO USE ONLY The following fees have been submitted 300.00 21. x Basic national fee ......\$300 22. | x | If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)......\$100 200.00 \$200 All other situations 23. | x | Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ......\$100 International Search Report prepared and provided to the Office.....\$400 500.00 All other situations. TOTAL OF 21, 22 and 23 = 1,000.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction **Total Sheets** Extra sheets RATE thereof (round up to a whole number - 100 = /50 = x \$250.00 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest daimed priority date (37 CFR 1.492 (e)). NUMBER FILED NUMBER EXTRA RATE **CLAIMS** Total claims 14 - 20 =0.00 х 0.00 Independent claims 1 - 3 =x MULTIPLE DEPENDENT CLAIM(s) (if applicable) + TOTAL OF ABOVE CALCULATIONS = 1,000.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = 1,000.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). 1.000.00 TOTAL NATIONAL FEE = Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = 1,000.00 Amount to be \$ refunded: Amount to be \$ charged: 1,000.00 X A check in the amount of \$ to cover the above fees is enclosed. in the amount of \$ Please charge my Deposit Account No. to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any 13-2855 . A duplicate copy of this sheet is enclosed. overpayment to Deposit Account No. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to perioding status. SEND ALL CORRESPONDENCE TO: James P. Zeller **CUSTOMER NUMBER: 04743** 28,491 REGISTRATION NUMBER



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Halls et al.	) For: METHOD OF PREPARING	
	) ELECTRICALLY CONNECTED	
U.S. National Phase of	) OPTOELECTRONIC DEVICES, AN	1D
PCT/GB2003/0229653.1 filed	OPTOELECTRONIC DEVICES	
December 18, 2003	)	
	) Group Art Unit: To be assigned	
Filed: Herewith	)	
	) Examiner: To be assigned	
	,	

### **CERTIFICATION UNDER 37 C.F.R. § 1.10**

I hereby certify that the attached six items (a) through (f) are being deposited with the United States Postal Service on June 20, 2005, in a postage-paid envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EV532466530US.

- (a) Transmittal letter to the United States Designated/Elected Office (DO/EO/US) concerning a filing under 35 U.S.C. §371;
- (b) Application, including seventeen sheets of description, three sheets of claims, and seven sheets of drawings;
- (c) Application Data Sheet;
- (d) Preliminary Amendment;
- (e) Information Disclosure Statement; and
- (f) International Search Report.

Laura Frasher